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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Lek Pharmaceuticals d.d.

Application No./Patent No.: 10/598,816

Filed/Issue Date: \_\_\_\_\_

Titled: Synthesis of 2-Methyl-4-(4-Methyl-1-Piperazinyl)-10H-Thieno(2,3-B) (1,5) Benzodiazepine and Salts Thereof

Lek Pharmaceuticals d.d., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 022413, Frame 0833, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

M. Le-Good  
Signature

Monika Le-Good

Printed or Typed Name

7.4.09  
Date

Patent Service Specialist

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:

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Signature

Gillian McCann

Printed or Typed Name

Date

Patent Service Specialist

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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\*500810539A

MARCH 18, 2009

PTAS

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NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 33684-US-PCT - 64655.US

## ASSIGNOR:

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DOC DATE: 08/22/2006

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DOC DATE: 08/22/2006

## ASSIGNOR:

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DOC DATE: 11/15/2006

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DOC DATE: 11/15/2006

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022413/0833 PAGE 2

SERIAL NUMBER: 10598816

FILING DATE: 12/14/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: SYNTHESIS OF 2-METHYL-4-(4-METHYL-1-PIPERAZINYL)-10H-THIENO(2,3-B)  
(1,5) BENZODIAZEPINE AND SALTS THEREOF

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## PATENT ASSIGNMENT

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NATURE OF CONVEYANCE:	ASSIGNMENT										
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Tomaz Mesar	08/22/2008										
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PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10598816</td></tr></tbody></table>		Property Type	Number	Application Number:	10598816						
Property Type	Number										
Application Number:	10598816										
CORRESPONDENCE DATA											
Fax Number: (865)523-4478 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> Phone: 865-546-4305 Email: mgraham@ing-patent.com Correspondent Name: Mark S. Graham Address Line 1: P.O. Box 1871 Address Line 4: Knoxville, TENNESSEE 39701											
ATTORNEY DOCKET NUMBER:	33684-US-PCT - 64855.US										
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US (PCT) Case 33684-US-PCT

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I / We Tomaz MESAR, Ljubljanska 27, 1236 Trzin, Slovenia, citizen of Slovenia  
Anton COPAR, Stareto trg 1, 1275 Smartno pri Litiji, Slovenia, citizen of Slovenia  
Hubert STURM, Edith-Stein-Weg 2, 6020 Innsbruck, Austria, citizen of Austria  
Johannes LUDESCHER, Kleinsöll 101, 6252 Breitenbach, Austria, citizen of Austria

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Lek Pharmaceuticals d.d., a Company organized under the laws of Slovenia, of Verovskova 57, 1526 Ljubljana, Slovenia**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

**SYNTHESIS OF 2-METHYL-4-(4-METHYL-1-PIPERAZINYL)-10H-THIENO[2,3-B]  
[1,5]BENZODIAZEPINE AND SALTS THEREOF**

invented by me/us and described in the international application

No. **PCT/EP2005/002876** filed **17/03/2005**

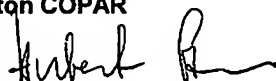
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And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Lek Pharmaceuticals d.d.**.

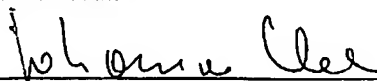
Signed on

\_\_\_\_\_  
Tomaz MESAR

\_\_\_\_\_  
Anton COPAR



\_\_\_\_\_  
Hubert STURM



\_\_\_\_\_  
Johannes LUDESCHER

\_\_\_\_\_  
15. 11. 2006

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**FAX:** **PHONE:** 865.5464305  
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